

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 09857-0061001	Application No. 09/903,388
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Dean Furbush et al.	
		Filing Date July 9, 2001	Group Art Unit 3695

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	4,412,287	10/25/1983	Braddock, III, Walter D.			
	2	5,297,031	03/22/1994	Gutterman et al.			
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.S./

Examiner Signature	Date Considered
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	26	WO 91/14231	09/19/1991	WIPO				
	27	WO 98/13778	04/02/1998	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	28	Securities and Exchange Commission, Proposed Rule Change, NASD, Inc., Feb. 5, 1999, 61 pps..
	29	"Nasdaq Workstation II", Nasdaq Stock Market, Inc., May 1999, pp. 1-17, XP002171886 ( <a href="http://www.nasdaqtrader.com/trader/tradingservices/productsservice6/userguides/-userguides.stm">www.nasdaqtrader.com/trader/tradingservices/productsservice6/userguides/-userguides.stm</a> ).
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	37	SR-NASD-99-53 – Amendment No. 2, NASD, 10/29/1999, 1 page
	38	SR-NASD-99-53 – Amendment No. 3 and Response to Comments, NASD, 3/22/2000, 39 pages
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	40	SR-NASD-99-53 – Amendment No. 5 and Second Response to Comments, NASD, 5/16/2000, 35 pages
	41	SR-NASD-99-53 – Amendment No. 6, NASD, 7/6/2000, 29 pages
	42	SR-NASD-99-53 – Securities and Exchange Commission, Proposed Rule Change, Amendment No. 8, NASD, 10/20/2000, 123 pages
	43	SR-NASD-99-53 – Amendment No. 9, NASD, 1/8/2001, 3 pages

Examiner Signature /Narayanswamy Subramanian/	Date Considered 06/10/2009
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